

Form No. MGT 9

EXTRACT OF ANNUAL RETURN as on financial year ended on March 31, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014]

I REGISTRATION & OTHER DETAILS:

i	CIN	*L67120GJ1994PLC115132
ii	Registration Date	12/07/1994
iii	Name of the Company	SHARE INDIA SECURITIES LIMITED
iv	Category/Sub-category of the Company	Limited by Share / Non-Govt. Company
v	Address of the Registered office & contact details	*Unit No. 604A-B, 605A-B, Tower A, 6th Floor, World Trade Centre, Gift City, Block-51, Zone 5, Road 5E, Gandhinagar, Gujarat-382355
vi	Whether listed company	Yes
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any	Bigshare Services Private Limited Add: 1 st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai - 400059. Phone no.: 022-6263 8200

*The Registered office of your Company has been shifted to Unit No. 604A-B, 605A-B, Tower A, 6th Floor, World Trade Centre, Gift City, Block-51, Zone 5, Road 5E, Gandhinagar, Gujarat-382355 pursuant to approval of Ministry of Corporate affairs vide its certificate dated 30th July, 2020 and new Corporate Identification number (CIN) has allotted the Company i.e., L67120GJ1994PLC115132.

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sl. No	Name and Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Own trading in Securities	64990	88.26
2	Brokrage / Commission Income	66120	11.28

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

Sl. No	Name & Address of the Company	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
1	Share India Commodity Brokers Private Limited 6 th Milestone, New Bhai-Chara Complex, Opp Mata Mandir, Chikambarpur, UP Border, Sahibabad, Uttar Pradesh - 201006	U74210UP1993PTC051818	ASSOCIATE	37.12%	2(6)
2	Share India Capital Services Private Limited Office No. 138, Tower A, Corenthum Tower A 41 Sector-62 Noida Gautam Buddha Nagar UP - 201301	U65923UP2016PTC075987	SUBSIDIARY	100.00%	2(87)(ii)
3	Share India Securities (IFSC) Private Limited Unit No. 422, 1vth Floor, Signature Building, Block 13B, Zone-1, Gift SEZ, Gandhinagar, Gujarat - 382355	U67100GJ2016PTC094617	SUBSIDIARY	100.00%	2(87)(ii)
4	Share India Insurance Brokers Private Limited 14, Dayanand Vihar, Delhi East, Delhi - 110092	U66000DL2018PTC337469	SUBSIDIARY	100.00%	2(87)(ii)
5	Share India Fincap Private Limited (Formerly Windpipe Finvest Private Limited) 14, Dayanand Vihar, Backside Ground Floor, Vikas Marg Ext. Delhi - 110092	U65921DL1996PTC084042	SUBSIDIARY	100.00%	2(87)(ii)
6	Total Securities (IFSC) Private Limited Unit No. 207, 2 nd Floor, Signature Building, Block 13B, Zone-1, Gift SEZ, Gift City, Gandhinagar, Gujarat - 382355	U65900GJ2016PTC094634	SUBSIDIARY	100.00%	2(87)(ii)
7	Total Securities Overseas Limited C/o IQEQ, 33, Edith Cavell Street, 11324, Port -Louis, Mauritius	084091/C2/GBL	SUBSIDIARY	100.00%	2(87)(ii)

IV SHAREHOLDING PATTERN (Equity Share capital Break up as percentage of total Equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	1,62,31,396	-	1,62,31,396	66.46	1,62,31,396	-	1,62,31,396	50.87	(15.59)
b) Central Govt.or State Govt.	-	-	-	-	-	-	-	-	-
c) Bodies Corporates	-	-	-	-	-	-	-	-	-
d) Bank/FI	-	-	-	-	-	-	-	-	-
e) Any other	-	-	-	-	-	-	-	-	-
SUB TOTAL:(A) (1)	1,62,31,396	-	1,62,31,396	66.46	1,62,31,396	-	1,62,31,396	50.87	(15.59)
(2) Foreign									
a) NRI- Individuals	-	-	-	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/FI	-	-	-	-	-	-	-	-	-
e) Any other	-	-	-	-	-	-	-	-	-
SUB TOTAL (A) (2)	-	-	-	-	-	-	-	-	-
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)	1,62,31,396	-	1,62,31,396	66.46	1,62,31,396	-	1,62,31,396	50.87	(15.59)
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/FI	-	-	-	-	-	-	-	-	-
c) Central govt	-	-	-	-	-	-	-	-	-
d) State Govt.	-	-	-	-	-	-	-	-	-
e) Venture Capital Fund	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FII/S	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
SUB TOTAL (B)(1):	-	-	-	-	-	-	-	-	-
(2) Non Institutions									
a) Bodies corporates									
i) Indian	12,58,006	-	12,58,006	5.15	16,79,904	-	16,79,904	5.27	0.12
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual shareholders holding nominal share capital upto ₹ 1 lakhs	11,81,994	-	11,81,994	4.84	10,28,602	-	10,28,602	3.22	(1.62)
ii) Individuals shareholders holding nominal share capital in excess of ₹ 1 lakhs	49,34,192	-	49,34,192	20.20	99,10,600	-	99,10,600	31.06	10.86
c) Others (specify)									
i) Trusts	1,000	-	1,000	0.004	-	-	-	0	(0.004)
ii) Clearing member	7,33,000	-	7,33,000	3.00	2,66,764	-	2,66,764	0.84	(2.16)
iii) Non Resident Indians (NRI)	40,000	-	40,000	0.16	29,719	-	29,719	0.09	(0.07)
iv) Hindu Undivided Family	-	-	-	0	27,13,603	-	27,13,603	8.51	8.51
v) Market Maker	45,000	-	45,000	0.18	46,000	-	46,000	0.14	(0.04)
d) Qualified Foreign Investor	-	-	-	-	-	-	-	-	-
SUB TOTAL (B)(2):	81,93,192	-	81,93,192	33.54	1,56,75,192	-	1,56,75,192	49.13	15.59
Total Public Shareholding (B)= (B)(1)+(B)(2)	81,93,192	-	81,93,192	33.54	1,56,75,192	-	1,56,75,192	49.13	15.59
C. Shares held by Custodian for GDRs & ADRs									
Grand Total (A+B+C)	2,44,24,588	-	2,44,24,588	100	3,19,06,588	-	3,19,06,588	100	0

(ii) Shareholding of Promoters

Sl. No.	Shareholders' Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change during the year
		No. of shares	% of total shares of the company	% of shares pledged/encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged/encumbered to total shares	
1	Parveen Gupta	17,83,200	7.30	-	17,83,200	5.59	-	(1.71)
2	Parveen Gupta HUF	85,200	0.35	-	85,200	0.27	-	(0.08)
3	Suman Gupta	7,79,000	3.19	-	7,79,000	2.44	-	(0.75)
4	Rohin Gupta	13,23,312	5.42	-	13,23,312	4.15	-	(1.27)
5	Saurabh Gupta	13,64,412	5.59	-	13,64,412	4.28	-	(1.31)
6	Rachit Gupta	16,58,400	6.79	-	16,58,400	5.20	-	(1.59)
7	Rajesh Gupta	19,11,068	7.82	-	19,11,068	5.99	-	(1.83)
8	Rajesh Kumar HUF	89,600	0.37	-	89,600	0.28	-	(0.09)
9	Rekha Gupta	10,92,388	4.47	-	10,92,388	3.42	-	(1.05)
10	Agam Gupta	6,06,800	2.48	-	6,06,800	1.90	-	(0.58)
11	Yash Pal Gupta	14,70,600	6.02	-	14,70,600	4.61	-	(1.41)
12	Yash Pal HUF	78,800	0.32	-	78,800	0.25	-	(0.07)
13	Sachin Gupta	10,63,200	4.35	-	10,63,200	3.33	-	(1.02)
14	Sachin Gupta HUF	1,73,200	0.71	-	1,73,200	0.54	-	(0.17)
15	Saroj Gupta	17,33,600	7.11	-	17,33,600	5.43	-	(1.68)
16	Tripti Gupta	8,38,600	3.43	-	8,38,600	2.62	-	(0.82)
17	Subhash Rani	1,56,816	0.64	-	1,56,816	0.49	-	(0.15)
18	Prerna Gupta	23,200	0.09	-	23,200	0.07	-	(0.02)
Total		1,62,31,396	66.46	-	1,62,31,396	50.87	-	(15.59)

(iii) #Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Share holding at the beginning of the Year	Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company
At the beginning of the year			
1	Parveen Gupta	17,83,200	7.30
2	Parveen Gupta HUF	85,200	0.35
3	Suman Gupta	7,79,000	3.19
4	Rohin Gupta	13,23,312	5.42
5	Saurabh Gupta	13,64,412	5.59
6	Rachit Gupta	16,58,400	6.79
7	Rajesh Gupta	19,11,068	7.82
8	Rajesh Kumar HUF	89,600	0.37
9	Rekha Gupta	10,92,388	4.47
10	Agam Gupta	6,06,800	2.48
11	Yash Pal Gupta	14,70,600	6.02
12	Yash Pal HUF	78,800	0.32
13	Sachin Gupta	10,63,200	4.35
14	Sachin Gupta HUF	1,73,200	0.71
15	Saroj Gupta	17,33,600	7.11
16	Tripti Gupta	8,38,600	3.43
17	Subhash Rani	1,56,816	0.64
18	Prerna Gupta	23,200	0.09
Total		1,62,31,396	66.46

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
	Date wise increase/decrease during the year	Though there has been no change in the shareholding of Promoters of the Company. However, the percentage of shares held by them has decreased as pursuant to Amalgamation of Total Securities Limited with Share India Securities Limited, the share capital of the Company has increased.			
	At the end of the year				
1	Parveen Gupta	-	-	17,83,200	5.59
2	Parveen Gupta HUF	-	-	85,200	0.27
3	Suman Gupta	-	-	7,79,000	2.44
4	Rohin Gupta	-	-	13,23,312	4.15
5	Saurabh Gupta	-	-	13,64,412	4.28
6	Rachit Gupta	-	-	16,58,400	5.20
7	Rajesh Gupta	-	-	19,11,068	5.99
8	Rajesh Kumar HUF	-	-	89,600	0.28
9	Rekha Gupta	-	-	10,92,388	3.42
10	Agam Gupta	-	-	6,06,800	1.90
11	Yash Pal Gupta	-	-	14,70,600	4.61
12	Yash Pal HUF	-	-	78,800	0.25
13	Sachin Gupta	-	-	10,63,200	3.33
14	Sachin Gupta HUF	-	-	1,73,200	0.54
15	Saroj Gupta	-	-	17,33,600	5.43
16	Tripti Gupta	-	-	8,38,600	2.63
17	Subhash Rani	-	-	1,56,816	0.49
18	Prerna Gupta	-	-	23,200	0.07
	Total	-	-	1,62,31,396	50.87

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No	For Each of the Top 10 Shareholders	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No of shares	% of total shares of the company
		At the beginning of the year				
1		Satish Kumar Gupta HUF	5,16,000	2.11	-	-
2		Vijay kumar	2,45,000	1.00	-	-
3		Bhavya Arnav	2,58,000	1.06	-	-
4		Globe Capital Market Limited	5,00,000	2.05	-	-
5		Chakshu Goyal	2,94,000	1.20	-	-
6		Sunil Kumar Gupta	2,04,000	0.84	-	-
7		Anisha Fincap Consultants LLP	3,01,000	1.23	-	-
8		Ankit Mittal	2,03,000	0.83	-	-
9		LSC Securities Limited	2,22,000	0.91	-	-
10		Rimpi	2,61,000	1.07	-	-
		Decrease in shareholding on 05/04/2019 (Sale in Open Market)				
		Rimpi	1,66,000	0.68	95,000	0.39
		Decrease in shareholding on 12/04/2019 (Sale in Open Market)				
		LSC Securities Limited	1,23,000	0.50	99,000	0.41
		Increase in shareholding on 26/04/2019 (Purchase in Open Market)				
		Shiv Prakash	5,00,000	2.05	5,00,000	2.05
		Decrease in shareholding on 26/04/2019 (Sale in Open Market)				
		Global Capital Market Limited	5,00,000	2.05	0	0.00
		Decrease in shareholding on 12/07/2019 (Sale in Open Market)				
		Ankit Mittal	40,000	0.16	1,63,000	0.67

Sl. No	For Each of the Top 10 Shareholders	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No of shares	% of total shares of the company
Increase in shareholding on 30/08/2019 (Purchase in Open Market)						
		Anisha Fincap Consultants LLP	3,000	0.01	3,04,000	1.24
		Chakshu Goyal	99,000	0.41	3,93,000	1.61
		Ankit Mittal	1,872	0.01	1,64,872	0.68
Decrease in shareholding on 30/08/2019 (Sale in Open Market)						
		LSC Securities Limited	99,000	0.41	0	0.00
Increase in shareholding on 06/09/2019 (Purchase in Open Market)						
		Anisha Fincap Consultants LLP	2,000	0.01	3,06,000	1.25
		Ankit Mittal	3,143	0.01	1,68,015	0.69
Decrease in shareholding on 13/09/2019 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	2,17,000	0.89	89,000	0.36
Decrease in shareholding on 20/09/2019 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	58,000	0.24	31,000	0.13
Increase in shareholding on 26/09/2019 (Purchase in Open Market)						
		Ankit Mittal	34,985	0.14	2,03,000	0.83
Decrease in shareholding on 26/09/2019 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	3,000	0.01	28,000	0.11
Increase in shareholding on 27/09/2019 (Purchase in Open Market)						
		Anisha Fincap Consultants LLP	1,000	0.00	29,000	0.12
Decrease in shareholding on 30/09/2019 (Sale in Open Market)						
		Rimpi	10,000	0.04	85,000	0.35
Decrease in shareholding on 04/10/2019 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	2,000	0.01	27,000	0.11
Decrease in shareholding on 11/10/2019 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	23,000	0.09	4,000	0.02
Increase in shareholding on 18/10/2019 (Purchase in Open Market)						
		Anisha Fincap Consultants LLP	43,000	0.18	47,000	0.19
Decrease in shareholding on 25/10/2019 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	9,000	0.04	38,000	0.16
Increase in shareholding on 01/11/2019 (Purchase in Open Market)						
		Anisha Fincap Consultants LLP	2,000	0.01	40,000	0.16
		Sunil Kumar Gupta HUF	1,000	0.00	2,05,000	0.84
Increase in shareholding on 08/11/2019 (Purchase in Open Market)						
		Sunil Kumar Gupta HUF	2,000	0.01	2,07,000	0.85
Decrease in shareholding on 08/11/2019 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	39,000	0.16	1,000	0.00
Increase in shareholding on 29/11/2019 (Purchase in Open Market)						
		Sunil Kumar Gupta HUF	2,000	0.01	2,09,000	0.86
Decrease in shareholding on 29/11/2019 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	1,000	0.00	0	0.00
Increase in shareholding on 06/12/2019 (Purchase in Open Market)						
		Suresh Girdharlal Vora	8,000	0.03	8,000	0.03
Increase in shareholding on 13/12/2019 (Purchase in Open Market)						
		Suresh Girdharlal Vora	7,000	0.03	15,000	0.06
Increase in shareholding on 16/12/2019 (Allotment pursuant to merger)						
		Suresh Girdharlal Vora	6,97,040	2.18	7,12,040	2.23
		Shyam Sundar Bihani	6,32,000	1.98	6,32,000	1.98
		Rajesh Harsukhlal Modi	6,14,400	1.93	6,14,400	1.93
		Nita Kamlesh Shah	7,34,656	2.30	7,34,656	2.30
		Kamlesh vadilal Shah HUF	5,02,080	1.57	5,02,080	1.57
		Vijay Girdharlal Vora HUF	4,79,200	1.50	4,79,200	1.50
		Manju Devi Bihani	4,77,200	1.50	4,77,200	1.50
		Suresh Girdharlal Vora HUF	3,80,000	1.19	3,80,000	1.19

Sl. No	For Each of the Top 10 Shareholders	Name of Shareholder	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No of shares	% of total shares of the company
Increase in shareholding on 20/12/2019 (Purchase in Open Market)						
		LSC Securities Limited	45,823	0.14	45,823	0.14
Decrease in shareholding on 27/12/2019 (Sale in Open Market)						
		LSC Securities Limited	40,323	0.13	5,500	0.02
Increase in shareholding on 31/12/2019 (Purchase in Open Market)						
		Globe Capital Market Limited	5,000	0.02	5,000	0.02
Decrease in shareholding on 31/12/2019 (Sale in Open Market)						
		LSC Securities Limited	5,000	0.02	0	0.00
Decrease in shareholding on 03/01/2020 (Sale in Open Market)						
		Total Securities Limited	1,98,000	0.62	0	0.00
Increase in shareholding on 07/02/2020 (Purchase in Open Market)						
		Anisha Fincap Consultants LLP	11,000	0.03	11,000	0.03
		LSC Securities Limited	40	0.00	40	0.00
Decrease in shareholding on 14/02/2020 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	11,000	0.03	0	0.00
		LSC Securities Limited	40	0.00	0	0.00
Increase in shareholding on 21/02/2020 (Purchase in Open Market)						
		LSC Securities Limited	500	0.00	500	0.00
		Anisha Fincap Consultants LLP	58,010	0.18	58,010	0.18
		Globe Capital Market Limited	10,342	0.03	15,342	0.05
Decrease in shareholding on 28/02/2020 (Sale in Open Market)						
		Anisha Fincap Consultants LLP	58,010	0.18	0	0.00
		LSC Securities Limited	500	0.00	0	0.00
		Globe Capital Market Limited	9,402	0.03	5,940	0.02
Increase in shareholding on 06/03/2020 (Purchase in Open Market)						
		Suresh Girdharlal Vora HUF	12,000	0.04	3,92,000	1.23
Decrease in shareholding on 06/03/2020 (Sale in Open Market)						
		Globe Capital Market Limited	940	0.00	5,000	0.02
Decrease in shareholding on 13/03/2020 (Sale in Open Market)						
		Nita Kamlesh Shah	1,00,000	0.31	6,34,656	1.99
Increase in shareholding on 19/03/2020 (Purchase in Open Market)						
		Vijay Girdharlal Vora HUF	5,000	0.02	4,84,200	1.52
Increase in shareholding on 20/03/2020 (Purchase in Open Market)						
		Rajesh Harsukhlal Modi	1,000	0.00	6,15,400	1.93
Increase in shareholding on 27/03/2020 (Purchase in Open Market)						
		Kamlesh vadilal Shah HUF	25,005	0.08	5,27,085	1.65
Increase in shareholding on 31/03/2020 (Purchase in Open Market)						
		Anisha Fincap Consultants LLP	57,175	0.18	57,175	0.18
		Suresh Girdharlal Vora HUF	17,000	0.05	4,09,000	1.28
*At the end of the year						
		Suresh Girdharlal Vora	-	-	7,12,040	2.23
		Nita kamlesh Shah	-	-	6,34,656	1.99
		Shyam Sundar Bihani	-	-	6,32,000	1.98
		Rajesh Harsukhlal Modi	-	-	6,15,400	1.93
		Kamlesh Shah HUF	-	-	5,27,085	1.65
		Satish Kumar HUF	-	-	5,16,000	1.62
		Shiv Prakash	-	-	5,00,000	1.57
		Vijay Girdharlal Vora HUF	-	-	4,84,200	1.52
		Manju Devi Bihani	-	-	4,77,200	1.50
		Suresh Girdharlal Vora HUF	-	-	4,09,000	1.28

*At the beginning of Financial Year 2019-20, the paid up shares of the Company were 24,424,588. However, pursuant to Amalgamation of Total Securities Limited with your Company and consequent allotment dated 16.12.2019 to shareholders of Total Securities Limited, the paid up shares of Company has been increased to 31,906,588. Therefore % above prior to the allotment has been calculated on the basis of 24,424,588 shares and post allotment on the basis of 31,906,588 shares.

(v) #Shareholding of Directors & Key Managerial Personnel:

Sl. No	For Each of the Directors and KMP	Name of Director / KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of shares	% of total shares of the company	No of shares	% of total shares of the company
At the beginning of the year						
1		Parveen Gupta	17,83,200	7.30	-	-
2		Rajesh Gupta	19,11,068	7.82	-	-
3		Saroj Gupta	17,33,600	7.10	-	-
4		Sachin Gupta	10,63,200	4.35	-	-
5		Rohin Gupta*	13,23,312	5.42	-	-
6		Yash Pal Gupta*	14,70,600	6.02	-	-
7		Vikas Aggarwal	78,732	0.43	-	-
8		Vijay Kumar Rana	78,732	0.43	-	-
Shareholding as on 16/01/2020**						
		Kamlesh Vadilal Shah	-	-	9,52,564	2.99
		Vijay G Vora	-	-	6,94,000	2.18
Decrease in Shareholding on 13/03/2020						
		Kamlesh Vadilal Shah	4,00,000	1.52	5,52,564	1.73
Increase in shareholding on 27/03/2020						
		Kamlesh Vadilal Shah	20,000	0.06	5,72,564	1.79
At the end of the year						
1		Parveen Gupta	-	-	17,83,200	5.59
2		Rajesh Gupta	-	-	19,11,068	5.99
3		Saroj Gupta	-	-	17,33,600	5.43
4		Sachin Gupta	-	-	10,63,200	3.33
5		Kamlesh Vadilal Shah	-	-	5,72,564	1.79
6		Vijay Girdharilal Vora	-	-	6,94,000	2.18
7		Vikas Aggarwal	-	-	78,732	0.43
8		Vijay Kumar Rana	-	-	78,732	0.43

*On 16th April, 2019 Mr. Yash Pal Gupta, Mr. Rohin Gupta tendered their resignation from Directorship

**On 16th January, 2020 Mr. Kamlesh Vadilal Shah (Managing Director) and Mr. Vijay Girdharilal Vora (Whole Time Director) were appointed for their respective position in the Company.

At the beginning of Financial Year 2019-20, the paid up shares of the Company were 24,424,588. However, pursuant to Amalgamation of Total Securities Limited with your Company and consequent allotment dated 16.12.2019 to shareholders of Total Securities Limited, the paid up shares of Company has been increased to 31,906,588. Therefore % above prior to the allotment has been calculated on the basis of 24,424,588 shares and post allotment on the basis of 31,906,588 shares.

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding /accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposit	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	59,84,24,903.52	5,40,70,027.00	-	65,24,94,930.52
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	59,84,24,903.52	5,40,70,027.00	-	65,24,94,930.52
Change in Indebtedness during the financial year				
Additions	61,93,33,91,724.68	59,39,49,100.00	-	62,52,73,40,824.68
Reduction	61,77,14,06,321.73	56,02,47,969.00	-	62,33,16,54,290.73
Net Change	16,19,85,402.95	3,37,01,131.00	-	19,56,86,533.95
Indebtedness at the end of the financial year				
i) Principal Amount	76,04,10,306.47	8,77,71,158.00	-	84,81,81,464.47
ii) Interest due but not paid	15,00,851.00	25,92,580.00	-	40,93,431.00
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	76,19,11,157.47	9,03,63,738.00	-	85,22,74,895.47

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole Time Director and/or Manager

Sl. No	Particulars of Remuneration	Name of the MD/WTD/Manager						Total Amount
		Managing Director		Whole Time Director				
		Parveen Gupta	Kamlesh Vadilal Shah*	Saroj Gupta	Vijay Girdharlal Vora*	Rohin Gupta**	Sachin Gupta	
1	Gross salary							
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961	21,60,000	21,00,000	21,60,000	11,04,825	42,500	20,40,000	96,07,325
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	-	-	-	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-	-	-	-
2	Stock Option	-	-	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-	-	-
4	Commission as % of profit others (specify)	-	-	-	-	-	-	-
5	Others, please specify	-	-	-	-	-	-	-
	Performance linked incentive Bonus	-	5,25,000	-	-	-	12,00,000	12,00,000
		-	-	-	-	-	-	5,25,000
	Total (A)	21,60,000	26,25,000	21,60,000	11,04,825	42,500	32,40,000	1,13,32,325
	Ceiling as per the Act	1,67,50,681	1,67,50,681	1,67,50,681	1,67,50,681	1,67,50,681	1,67,50,681	3,35,01,362

B. Remuneration to other directors

Sl. No	Particulars of Remuneration	Name of the Directors							Total
		Sulabh Jain	Sanjib Singh**	Jatinder Pal Singh	Lavesh Shyam Goyal*	Monil Ashok Gangar*	Nilesh Mahendra Shah*	Upasana Gupta*	
1	Independent Directors								
	(a) Fee for attending board committee meetings	18,000	10,000	2,000	-	-	-	8,000	38,000
	(b) Commission	-	-	-	-	-	-	-	-
	(c) Others, please specify	-	-	-	-	-	-	-	-
	Total (1)	18,000	10,000	2,000	-	-	-	8,000	38,000
2	Other Executive/Non Executive Directors	Rajesh Gupta	Shyam Sundar Bihani***	Suresh Girdharlal Vora***	Rajesh Harsukhlal Modi***	Yashpal Gupta**			
	(a) Fee for attending board / committee meetings	-	-	-	-	-	-	-	-
	(b) Commission	-	-	-	-	-	-	-	-
	(c) Others, please specify								
	(i) Salary	-	10,60,959	6,83,400	6,44,160	-	-	-	23,88,519
	(ii) Bonus	-	2,53,653	1,70,850	1,25,190	-	-	-	5,49,693
	Total (2)	-	13,14,612	8,54,250	7,69,350	0	-	-	29,38,212
	Total (B)=(1+2)								29,76,212
	Ceiling as per the Act								33,50,136
	Total Managerial Remuneration (A+B)								1,43,08,537
	Overall Ceiling as per the Act								3,68,51,498

*On 16th January, 2020 Ms. Upasana Gupta tendered her resignation from the post of Independent Director of the Company and Mr. Lavesh Shyam Goyal (Additional Independent Director), Mr. Nilesh Mahendra Shah (Additional Independent Director), Mr. Monil Ashok Gangar (Additional Independent Director), Mr. Kamlesh Vadilal Shah (Managing Director) and Mr. Vijay Girdharlal Vora (Whole Time Director) have been appointed for their respective position in the Company.

** On 16th April, 2019 Mr. Yash Pal Gupta, Mr. Rohin Gupta tendered their resignation from Directorship & Mr. Sanjib Singh was appointed as Independent Director of the Company.

*** Pursuant to Amalgamation of Total Securities Limited with Share India Securities Limited, the remuneration paid to Directors of Total Securities Limited also forms part of the return.

C. Remuneration to Key Managerial Personnel other than MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total
		CEO	Company Secretary	CFO	
1	Gross salary	Sachin Gupta	Vikas Aggarwal	Vijay Kumar Rana	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax, 1961	-	11,78,400	8,08,170	19,86,570
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) of The Income Tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission as % of profit others (specify)	-	-	-	-
5	Others, please specify	-	-	-	-
	Bonus	-	-	70,000	70,000
	Total	-	11,78,400	8,78,170	20,56,570

VII PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority (RD / NCLT / COURT)	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment			NIL		
Compounding					
B. DIRECTORS					
Penalty					
Punishment			NIL		
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment			NIL		
Compounding					