

Share India Securities Limited

(CIN: L67120GJ1994PLC115132)

Member: NSE, BSE, MCX, NCDEX & MSEI Depository Participant with 'CDSL' SEBI Registered Research Analyst AMFI Registered Mutual Fund Distributor



May 02, 2025

To. **BSE** Limited, **Scrip code: 540725**

National Stock Exchange of India Limited, **Symbol: SHAREINDIA**

Sub: Disclosure under Regulation 30 of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015.

Dear Sir.

Pursuant to Regulation 30 of the Securities and Exchange Board of India ("SEBI") (Listing Obligations & Disclosure Requirements) Regulations, 2015 ("Listing Regulations") read with Para A of Part A of Schedule III of the Listing Regulations, it is hereby informed that Multi Commodity Exchange of India Limited (MCX), on May 01, 2025, has imposed a monetary penalty on the Company, by way of issuing a tax invoice. The penalty pertains to violation of ATS Order-to-Trade Ratio (OTR) in the normal course of stock broking operations of the Company.

The details required as per Schedule III of the Listing Regulations are provided in the Annexure.

In this regard, please be informed that the Company is committed to upholding the highest compliance standards and shall take necessary steps to address the concern mentioned in the tax invoice.

There is no impact on financials, operations or other activities of the Company pursuant to the abovementioned penalty.

The tax invoice imposing the penalty on the Company was generated on May 01, 2025.

You are requested to take the above information on your records.

Thanking you,

Yours faithfully, For Share India Securities Limited

Vikas Aggarwal Company Secretary & Compliance Officer M. No. F5512



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Annexure

Details as required under Schedule III of the Listing Regulations

Particulars	Details of Administrative Warning
Name of the Authority.	Multi Commodity Exchange of India Limited (MCX)
Nature and details of the action(s) taken, initiated or order(s) passed.	MCX has imposed a penalty of Rs. 6,48,841.88/-(inclusive of IGST of Rs. 98,975.88/-) for violation of ATS Order-to-Trade Ratio (OTR) according to MCX Circular no. MCX/CTCL/062/2017.
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority.	The tax invoice imposing the penalty on the Company was generated on May 01, 2025.
Details of the violation(s)/contravention(s) committed or alleged to be committed.	The penalty has been imposed for violation of ATS Order-to-Trade Ratio (OTR) for the period June 2024 to December 2024, in the normal course of stock broking operations.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	There is no impact on the financials, operations, or other activities of the Company.