



Share India Securities Limited

(CIN : L67120UP1994PLC050209)

Member : NSE, BSE, MCX & NCDEX

Depository Participant with 'CDSL'

SEBI Registered Research Analyst & Portfolio Manager

Date: 23.06.2020

To,
Deputy Manager
Department of Corporate Services,
BSE limited, Floor 25,
P.J Towers, Dalal Street,
Fort, Mumbai- 400001

Scrip Code: 540725

Sub: Annual Secretarial Compliance Report for the Financial year 2019 - 2020.

Dear Sir,

With Reference to the Regulation 24A of the SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015, we enclose herewith Annual Secretarial Compliance Report for the Financial year 2019 - 2020 issued by M/s Jaiswal & Associates, Company Secretary in Whole-Time Practice.

Kindly take the same on your records.

For Share India Securities Limited

Sd/-

Vikas Aggarwal

Company Secretary & Compliance Officer

M.No:- FCS 5512

Contact :- 9971199700



Secretarial Compliance Report of Share India Securities Limited for the year ended March 31, 2020

We, JAISWAL & ASSOCIATES, Company Secretaries have examined:

- a) all the documents and records made available to us and explanation provided by **SHARE INDIA SECURITIES LIMITED** ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **March 31, 2020** ("Review Period") in respect of compliance with the provisions of:
 - a. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - b. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- e. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- f. Securities and Exchange Board of India (Portfolio Managers) Regulations, 2020;
- g. Securities and Exchange Board of India (Research Analysts) Regulations, 2014;
- h. Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- i. Securities and Exchange Board of India (Stock Brokers) Regulations, 1992;
- j. Securities and Exchange Board of India (Intermediaries) Regulations, 2008.

and based on the above examination, I/We hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
--------	---	------------	---

-	-	-	-
---	---	---	---

- b. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- c. The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

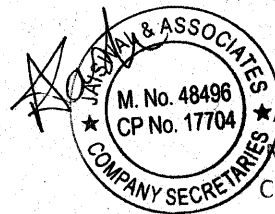
Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
-	-	-	-	-

- d. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
-	-	-	-	-

FOR JAISWAL & ASSOCIATES
Company Secretaries

Date: 23.06.2020
Place: Delhi



Akash Jaiswal
M. No. 48496
CP. No. 17704