

Date: May 06, 2022

To,
**Department of Corporate Services,
BSE limited**
Floor 25, P.J Towers, Dalal Street,
Fort, Mumbai- 400001

To,
**The Listing Department
National Stock Exchange of India Limited**
Exchange Plaza, C-1, Block G, Bandra Kurla
Complex, Bandra (E), Mumbai 400051

Scrip Code: 540725

SYMBOL: SHAREINDIA

Sub: Annual Secretarial Compliance Report for the Financial Year 2021 - 2022

Dear Sir,

With Reference to the Regulation 24A of the SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015, we enclose herewith Annual Secretarial Compliance Report for the Financial Year 2021 – 2022 issued by M/s Jaiswal & Associates, Company Secretary in Whole-Time Practice.

Kindly take the same on your records.

For **Share India Securities Limited**

Mr. Vikas Aggarwal
Company Secretary & Compliance Officer
M.No:- FCS 5512

Enclosed: a/a



JAISWAL & ASSOCIATES

Company Secretaries

Secretarial Compliance Report of Share India Securities Limited for the year ended March 31, 2022

We, JAISWAL & ASSOCIATES, Company Secretaries have examined:

- a) all the documents and records made available to us and explanation provided by **SHARE INDIA SECURITIES LIMITED** ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **March 31, 2022** ("Review Period") in respect of compliance with the provisions of:
 - a. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - b. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021
- e. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- f. Securities and Exchange Board of India (Portfolio Managers) Regulations, 2020;
- g. Securities and Exchange Board of India (Research Analysts) Regulations, 2014;
- h. Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- i. Securities and Exchange Board of India (Stock Brokers) Regulations, 1992;
- j. Securities and Exchange Board of India (Intermediaries) Regulations, 2008.

and based on the above examination, I/We hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -



Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
-	-	-	-

- b. The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- c. The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
-	-	-	-	-

- d. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
-	-	-	-	-

FOR JAISWAL & ASSOCIATES
Company Secretaries



Date: 05.05.2022

Place: Delhi

Akash Jaiswal
M No. 48496

CP. No. 17704

UDIN: A048496D000275822